

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Technical Changes to Previously
Approved Waiver – Waiver-00-19
Action Item

Prepared By:
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CTC Meeting: August 23-24, 2000

Agenda Item: 2.9

Original Signed By

W. J. EVANS, Deputy Director
Finance
August 1, 2000

TECHNCIAL CHANGES TO PREVIOUSLY APPROVED WAIVER
WAIVER 00-19

Technical correction to Waiver 00-19, originally approved May 11, 2000, for \$19,269,000 in State and Federal funds for twenty-eight (28) Local Regional Transportation Improvement Program (RIP) projects.

For Project #14 (E. Pine Street Drainage Improvements from SR 395 to 2100 feet East - PPNO 1501) on Page 5 of the Book Item Attachment, correct the amount programmed from \$240,000 to \$550,000 and correct the construction extension amount from \$160,000 to \$470,000.

This technical correction will ensure that the correct funding is available for this STIP project to be allocated in Fiscal Year 2000-01. The text of the resolution changes to reflect the revised dollar amounts.

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Extension Request – Project Allocation
Action Item

Prepared By:
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CTC Meeting: May 10-11, 2000

Agenda Item: 2.8a.(2)

W. J. EVANS, Deputy Director
Finance
May 12, 2000

TIME EXTENSION REQUEST
CTC RESOLUTION G-99-27 - CTC STIP GUIDELINES
SECTION 59 – TIMELY USE OF FUNDS

LOCAL STREETS AND ROADS PROJECTS
WAIVER 00-19

CTC ACTION TAKEN

Request for Project Allocation Extension for the projects listed on the attached chart are approved to the dates specified for each project.

ISSUE

Resolution G-99-27, *STIP Guidelines*, adopted by the California Transportation Commission (CTC) on September 29, 1999, stipulates that funds programmed for all components of local grant projects are available for allocation only until the end of the fiscal year identified in the State Transportation Improvement Program (STIP).

The projects on the attached list were programmed in the 1998 STIP in Fiscal Year 1999-00 with a June 30, 2000 allocation deadline. The project sponsors are requesting extensions. The local planning agency for each project sponsor concurs.

RECOMMENDATION

The Department of Transportation supports the allocation extension requests.

SUMMARY AND CONCLUSIONS

The CTC has programmed ~~\$26,942,000~~ **\$27,252,000** in FY 1999-00 for the 28 local assistance projects on the attached list. A total of ~~\$19,269,000~~ **\$19,579,000** of the programmed funds has not yet been allocated. The attachment shows the details of each project and the delays that have resulted in the extension requests.

Attachment

Time Extension - Allocation Deadline
Item 2.8a – Local Street and Road Projects

Proj. No	Extension Request (# of months)	Applicant/ Project/ PPNO	Program/ Year	Programmed Amount FY 99/00 (thousands)	Programmed Amount by Phase Requiring Extension	Local Agency Reason for Delay	Local Agency Extension Request	<u>APPROVED</u> <u>Caltrans</u> <u>Recommended</u> Extension Date
1	18	Humboldt County Old Arcata Road/Myrtle Avenue Widening PPNO 2001R	99/00	\$ 560	\$ 190,000 Right of Way \$ 100,000 PS&E	A completely new environmental document was required due to substantial changes in environmental regulations. The County had erroneously assumed the environmental document approved for the 1980 Old Arcata Road project would be sufficient. The environmental document is expected to be complete in September 2001, over 15 months later than the original schedule had anticipated. In addition, the listing of species in the area of the project as endangered was an unforeseen event that caused significant delays to preparation of the environmental documents.	12/31/01	12/31/01
2	12	City of Alturas City of Alturas, Local Street Rehabilitation, Various Locations PPNO 2041	99/00	\$ 1,455	\$ 8,000 Right of Way \$1,447,000 Construction	The start of environmental and preliminary design was delayed due to a lack of City funds to finance the initial expenditures for this project. All spare funds were needed to fund the interest for the loans the City had to obtain to carry the 1999 project expenditures while waiting for reimbursement from the state.	6/30/01	6/30/01
3	12	Plumas County CR 506, Graeagle- Johnsonville, Reconstruct PPNO 2042	99/00	\$ 1,056	\$1,056,000 Construction	The right of way phase cannot be completed within the original timeframe because of unforeseen delays. The Department of Public Works has been unable to reach agreement with one of the affected landowners. Allocation cannot be requested until right of way is complete. The Plumas County Transportation Commission wishes to conclude the right of way phase to the satisfaction of all parties involved. Plumas County expects contract advertisement and award to occur in May 2001.	6/30/01	6/30/01

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								Extension Date
4	20	Siskiyou County Co Rd A12 from I-5 to 9.2 miles east PPNO 2031	99/00	\$3,893,000	\$ 100,000 Right of Way \$3,793,000 Construction	During the NEPA discovery process, wetlands and biological issues have become more involved at the west portion than was originally anticipated. The west portion will not have environmental clearance until January 2001. The originally scheduled date for environmental clearance was May 1999.	2/28/02	2/28/02
5	6	Santa Clara County CMAQ Match Reserve PPNO	99/00	\$ 1,567	\$1,408,000 CMAQ Match Reserve	Final CMAQ programming for the first three years of TEA-21 had not yet been completed when the CMAQ match reserve funds were programmed in the 1998 STIP. This match reserve is expected to be applied to the Santa Clara Valley Transportation Authority's Tasman East Light Rail Extension project. The contract is expected to be let out in November or December of this year.	12/31/00	12/31/00
6	12	City of Dublin Dublin Boulevard Widening – Phase II PPNO 2114	99/00	\$ 631	\$ 267,000 PS&E \$ 220,000 Right of Way	Upon review of the environmental requirements, it was determined that several technical studies will be required for this project, which could potentially impact biological resources and wetlands situated within the Alamo Canal. Until environmental documents are certified, the City cannot allocate PS&E and Right of Way.	6/30/01	6/30/01
7	6	City of Albany Buchanan/East Shore/Route 80 Interchange Improvements PPNO 2203	99/00	\$ 2,500	\$2,250,000 Construction	Unforeseen delay in conducting the field review which was requested in March 1999 but completed in August 1999. There was also a delay in receiving authorization to proceed. A data input error showed the \$250,000 programmed for the PS&E component as programmed for the Environmental Studies component. The allocation request was delayed until the error could be corrected.	12/31/00	12/31/00

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								Caltrans Recommended Extension Date
8	20	Alameda County CMAQ Match Reserve PPNO 2159	99/00	\$ 1,956	\$1,547,000 CMAQ Match Reserve	Final CMAQ programming for the first three years of TEA-21 had not yet been completed when the CMAQ match reserve funds were programmed in the 1998 STIP. Only \$409 of the match will be allocated this fiscal year. The remaining funds will be allocated over the next 20 months.	2/28/02	2/28/02
9	15	Solano County CMAQ Match Reserve PPNO 2170	99/00	\$ 356	\$ 207,000 CMAQ Match Reserve	Final CMAQ programming for the first three years of TEA-21 had not yet been completed when the CMAQ match reserve funds were programmed in the 1998 STIP. Additional environmental work on one project (I-680/Gold Hill Road Park & Ride) has also caused an unforeseen delay. The preliminary environmental assessment suggests the possible existence of an endangered plant species unique to Solano County. The formal identification can only occur during the late spring-early summer. The time extension of 15 months will allow time for fieldwork, wetlands delineation, identification of the species, Army Corps certification, and possible mitigation measures.	9/30/01	9/30/01
10	20	Council of Fresno County Governments CMAQ Match Reserve PPNO 6L02	99/00	\$ 1,959	\$1,032,000 CMAQ Match Reserve	Final CMAQ programming for the first three years of TEA-21 had not yet been completed when the CMAQ match reserve funds were programmed in the 1998 STIP. Several CMAQ projects were programmed beyond FY 99/00 that require CMAQ match reserve funds.	2/28/02	2/28/02

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11	18	City of Chowchilla SR 233 – 15 th Street to Palm Parkway PPNO 5500	99/00	\$ 350	\$ 350,000 Construction	The water main located within the right of way of SR 233 was programmed to be replaced during the 2003/2004 fiscal year. In addition, the replacement called for a gravity line to be laid in SR 233. The funding source was approved in December 1999. Both the sewer pipeline and water pipeline are proposed to be constructed within the new SR 233 improvements zone, and therefore should be installed prior to the SR 233 street improvement construction. The City received approval and funding from the USDA to advance the construction work this year. The City would like to complete the sewer/pipeline improvements before proceeding with the overlay/rehab project. The revised construction schedule calls for obligation of funds in October 2001.	12/31/01	12/31/01
12	6	City of Bishop Wye Road, Rte 6 to Spruce St PPNO 0004	99/00	\$ 476	\$ 66,000 PS&E	Project delivery delayed. Allocation of E&P delayed in processing by 6 weeks. An additional 18 week delay was caused by potential hazardous materials that may impact drainage and construction considerations and require additional time to process the environmental document.	12/31/00	12/31/00
13	3	City of Bishop E. Line St. & Poleta Rd. from SR 396 to Canal Bridge PPNO 0001	99/00	\$ 467	\$ 70,000 PS&E	Project delivery delayed. Allocation of E&P delayed in processing by 6 weeks. An additional 6 weeks is required to coordinate and prepare an environmental project for a joint City of Bishop/County of Inyo project. The projects were originally programmed in different years and because the environmental will cover both projects, additional time is needed to coordinate with and accelerate portions of the County project.	9/30/00	9/30/00

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14	3	City of Bishop E. Pine Street Drainage Improvements from SR 395 to 2100 feet East PPNO 1501	99/00	\$ 240 \$ 550	\$ 65,000 PS&E \$ 160,000 \$ 470,000 Construction	Project delivery delayed. Allocation of E&P delayed in processing by 6 weeks. During project development budget process, the City anticipated that more work had previously been done by Caltrans on their rehab project. Because this project extends those drainage improvements, the City needs more time to complete E&P work not addressed by the Caltrans project. The additional time is 4 weeks.	9/30/00	9/30/00
15	9	County of Inyo Death Valley Road Reconstruction from 10 miles to 15.1 miles East of SR 168 PPNO 3017A	99/00	\$ 663	\$ 607,000 Construction	Initial estimates for rehab projects were inaccurate and resulted in a STIP amendment that took 4 months of total processing time. In addition, allocation of PA&ED and PS&E took approximately 3 months longer than expected. The net 7-month delay results in construction scheduled for winter months. Therefore, an extension of 9 months is required to ensure construction will occur during the spring.	3/31/01	3/31/01
16	9	County of Inyo Fort Independence Road Resurfacing from South intersection with SR 395 to the North intersection with SR 395 PPNO 3029	99/00	\$ 325	\$ 288,000 Construction	Initial estimates for rehab projects were inaccurate and resulted in a STIP amendment that took 4 months of total processing time. In addition, allocation of PA&ED and PS&E took approximately 3 months longer than expected. The net 7-month delay results in construction scheduled for winter months. Therefore, an extension of 9 months is required to ensure construction will occur during the spring.	3/31/01	3/31/01

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								Caltrans Recommended Extension Date
17	12	Town of Mammoth Lakes Mammoth Park and Ride Lots PPNO 2500	99/00	\$ 1,926	\$ 46,000 PS&E	The Town of Mammoth Lakes Community Development Department is conducting a Community Outreach Program (COP). The program outlines the transportation facilities including public transit and alternate modes needed for community build out. The project is taking longer than originally anticipated due to the COP, which is expected to conclude in Summer 2000. The Town will then need to contract out and complete a base topographic map, which will have to be come in late spring or early summer the following year due to significant amounts of snow during winter.	6/30/01	6/30/01
18	16	Town of Mammoth Lakes Mammoth Park and Ride Lots PPNO 2500	99/00	\$ 1,926	\$1,160,000 Right of Way	The Town of Mammoth Lakes Community Development Department is conducting a Community Outreach Program (COP). The program outlines the transportation facilities including public transit and alternate modes needed for community build out. The project is taking longer than originally anticipated due to the COP, which is expected to conclude in Summer 2000. The Town will then need to contract out and complete a base topographic map, which will have to be come in late spring or early summer the following year due to significant amounts of snow during winter.	10/31/01	10/31/01
19	20	Town of Mammoth Lakes Mammoth Park and Ride Lots PPNO 2500	99/00	\$ 1,926	\$ 690,000 Construction	The Town of Mammoth Lakes Community Development Department is conducting a Community Outreach Program (COP). The program outlines the transportation facilities including public transit and alternate modes needed for community build out. The project is taking longer than originally anticipated due to the COP, which is expected to conclude in Summer 2000. The Town will then need to contract out and complete a base topographic map, which will have to be come in late spring or early summer the following year due to significant amounts of snow during winter.	2/28/02	2/28/02

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20	10	Town of Mammoth Lakes Lake Mary Road Bike Lanes from Horseshoe Lake campground to Minaret Road PPNO 2502	99/00	\$ 4,376	\$ 239,000 PS&E \$ 3,000 R/W	This project was delayed because of potential erosion impacts and serious environmental impacts identified that require further studies. The short construction season in Mammoth Lakes also affects all processes of the project including base topographic collection, field design, and construction. Mammoth Lakes is working with the U.S. Forest Services and the Lakes Basin Transportation Committee to resolve the historical, biological, and erosion control issues.	4/30/01	4/30/01
21	12	Town of Mammoth Lakes Old Mammoth Road Rehabilitation from SR 203 to Mammoth Creek Road PPNO 2507	99/00	\$ 812	\$ 770,000 Construction	The Mammoth Community Water District has requested that the Town of Mammoth Lakes delay the rehabilitation of Old Mammoth Road for 12 months. This is due to the installation of new water pipelines underneath Old Mammoth Road; the District doesn't want to install these lines after the street work is complete. The Town would like to provide the Water District sufficient time to budget and install the pipeline project prior to street rehabilitation. The Town's Municipal Code does not allow cutting the street for new pipeline work for two years following completion of a reconstruction or overlay project.	6/30/01	6/30/01
22	12	Merced County Association of Governments CMAQ Match Reserve PPNO 5961	99/00	\$ 184	\$ 65,000 CMAQ Match Reserve	Final CMAQ programming for the first three years of TEA-21 had not yet been completed when the CMAQ match reserve funds were programmed in the 1998 STIP. Initial estimates resulted in an over-programmed match reserve by \$65,000. A 12-month extension is requested to provide the match for projects programmed in FY 00/01. \$119,000 will be allocated in 99/00.	6/30/01	6/30/01

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23	14	City of Modesto Orangeburg and Rose Roundabout PPNO 9874	99/00	\$ 100	\$ 85,000 Construction	Development of the PS&E requires more time than originally expected, resulting in a delay in requesting the construction allocation for this project. The project is the first of its type in the area, so special expertise has been brought in from out of the area to design the project. It is also anticipated that public outreach and consensus may require more time than originally anticipated due to the unique nature of the proposed project. \$15,000 PE allocated.	8/31/01	8/31/01
24	20	Stanislaus County Garst Road extension, new 2-lane road State-only funds PPNO 9879	99/00	\$ 190	\$ 150,000 PS&E	The environmental phase of this project is estimated to require more time than originally anticipated in the initial project schedule, resulting in a delay in requesting the PS&E funds for this project. Stanislaus County was considering studying only one alternative. They hired a consultant in February to conduct the study. It now appears they will have to study at least three alternative alignments. Unanticipated delays may also result due to the potentially controversial nature of this project. There is an issue with relocating the 2 nd Street railroad crossing up to Garst Road, which impacts residences and a school. There are numerous socio-economic issues to be resolved and some public concerns have already been expressed. \$40,000 PE allocated.	2/28/02	2/28/02
25	20	Mariposa County Highway Pedestrian Facility PPNO 4148	99/00	\$ 700	\$ 650,000 Construction \$ 50,000 Right of Way	Loss of staff plus multiple agency reviews required for project development documents resulted in lengthy delays. Mariposa County is a small agency. Staff turnover has created tremendous problems in project delivery. Six out of seven staff have left in the last six months, including the Director, Senior Engineer, Surveyor, Transportation Planner, and Associate and Junior engineers. There are five technicians and two engineers remaining. Hiring and training takes time.	2/28/02	2/28/02

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26	20	Mariposa County East Westfall Rd, realign, widen, pave PPNO 4954	99/00	\$ 40	\$ 10,000 Right of Way	Loss of staff plus multiple agency reviews required for project development documents resulted in lengthy delays. Mariposa County is a small agency. Staff turnover has created tremendous problems in project delivery. Six out of seven staff have left in the last six months, including the Director, Senior Engineer, Surveyor, Transportation Planner, and Associate and Junior engineers. There are five technicians and two engineers remaining. Hiring and training takes time. \$30,000 PS&E allocated.	2/28/02	2/28/02
27	20	Mariposa County Triangle Road, realign, widen, pave PPNO 4951	99/00	\$ 120	\$ 110,000 Construction	Loss of staff plus multiple agency reviews required for project development documents resulted in lengthy delays. Mariposa County is a small agency. Staff turnover has created tremendous problems in project delivery. Six out of seven staff have left in the last six months, including the Director, Senior Engineer, Surveyor, Transportation Planner, and Associate and Junior engineers. There are five technicians and two engineers remaining. Hiring and training takes time. \$10,000 PS&E allocated.	2/28/02	2/28/02
28	20	Mariposa County Hirsch Road, realign, widen, pave PPNO 4950	99/00	\$ 40	\$ 10,000 Right of Way	Loss of staff plus multiple agency reviews required for project development documents resulted in lengthy delays. Mariposa County is a small agency. Staff turnover has created tremendous problems in project delivery. Six out of seven staff have left in the last six months, including the Director, Senior Engineer, Surveyor, Transportation Planner, and Associate and Junior engineers. There are five technicians and two engineers remaining. Hiring and training takes time. \$30,000 PS&E allocated.	2/28/02	2/28/02